2/32 Her

**PATENT** 



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 03-447)

In re Applicati	on of:	)	
	Mark Ireton	)	0
Serial No.:	09/783,244	)	Group Art Unit: 2132
Filed:	February 13, 2001	)	Examiner: Not Assigned
For: Ensur	ing Legitimacy of Digital Media	)	

#### TRANSMITTAL LETTER

Commissioner for Patents

P.O. Box 1450

Alexandria Virginia 22313-1450

Dear Sir:

In regard to the above identified application,

- 1. We are transmitting herewith the attached:
  - a) Supplemental Information Disclosure Statement
  - b) Supplemental PTO Form 1449
  - c) 4 Cited References
  - d) Postcard.
- 2. With respect to fees:
  - a) No fee is required.
  - b) Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
- 3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria Virginia 22313-1450 on August 24, 2004.

Respectfully submitted,

Dated: August 24, 2004

Julian F. Santos

Registration No. 47,917





# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. 03-447)

In re Applica	tion of:	)	
	Mark Ireton	)	
Carial Na .	00/702 244	)	Art Unit: 2132
Serial No.:	09/783,244	)	Examiner: Not Assigned
Filed:	February 13, 2001	) )	
For: Ensur	ring Legitimacy of Digital Media	)	

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria Virginia 22313-1450

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies of the references are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103, nor is this submission to be construed as a representation that a search has been made.

## **CITED REFERENCES**

#### I. U.S. PATENT DOCUMENTS

Patent Application Number Inventor(s) Issue Date

1. 5,757,915 Aucsmith et al. May 26, 1998

#### II. FOREIGN PATENT DOCUMENTS

	Patent Application Number	<u>Inventor(s)</u>	Publication Date
1.	WO 00/42492	Danieli	July 20, 2000
2.	WO 01/18633	Carpentier et al.	March 15, 2001

#### II. OTHER DOCUMENTS

1. Islam et al., "A Flexible Security Model for Using Internet Content", IEEE – 16<sup>th</sup> Symposium on Reliable Distributed Systems, October 22-24, 1997, pages 89-96.

Respectfully submitted,

Date: Acust 24, 2004 By: Julian F. Santos

Registration No. 47,917

FORM PTO-1449 (Rev. 2-32)  SUPPLEMENTAL INFORM STATEMENT B  (Use several she	SY APPLICANT	Atty. Docket No. 03-447	Serial No. 09/783,244
AUG 2 6 2004 2		Applicant: Mark Ireton	
RADEMARKO		Filing Date: February 13, 2001	Group: 2132

## **U.S. PATENT DOCUMENTS**

Examiner Initial	·	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	1.	5,757,915	05-26-98	Aucsmith et al.	380	25	08-25-95

#### FOREIGN DOCUMENTS

Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation
	2.	WO 00/42492	07-20-00	PCT			
	3.	WO 01/18633	03-15-01	PCT			

# OTHER DOCUMENTS (Including Author, Title, Data, Pertinent Pages, Etc.)

4	1.	Islam et al., "A Flexible Security Model for Using Internet Content", IEEE – 16 <sup>th</sup> Symposium on Reliable Distributed Systems, October 22-24, 1997, pages 89-96.	
5	5.		
6	5.		
7	7.		
8	3.		
		DATE CONSIDERED	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.